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J1050 U.S. PTO

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Docket No.: **END9 2000 0172 US1**

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NEW UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Total Pages this Submission: 3

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

System and Method for Server Display Confirmation Record Response in a Connection Oriented Client/Server Protocol

and invented by:

RICHARD G. HARTMANN, DANIEL L. KRISSELL, THOMAS E. MURPHY, JR., FRANCINE M. ORZEL,
PAUL F. RIETH, JEFFREY S. STEVENS

ASSIGNEE NAME: International Business Machines Corporation
ASSIGNEE RESIDENCE: Armonk, New York

If a **CONTINUATION APPLICATION**, check the appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part(CIP) of prior application

Enclosed are:

Application Elements

01. • Filing fee as calculated and transmitted as described below
02. • Specification having 27 pages and including the following:
 - a. • Descriptive Title of the Invention
 - b. ☐ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. • Background of the Invention
 - f. • Brief Summary of the Invention
 - g. • Brief Description of the Drawings (if drawings filed)
 - h. • Detailed Description
 - i. • Claim(s) as Classified Below
 - j. • Abstract of the Disclosure
03. • Drawing(s) when necessary as prescribed by 35 USC 113)
 - a. ☐ Formal
 - b. • Informal

Number of sheets: 2

NEW UTILITY PATENT APPLICATION TRANSMITTAL**(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Total Pages this Submission:3

Application Elements (Continued)04. ☒ Oath or Declaration

- a. ☐ Newly executed (original or copy) ☐ Not executed
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney

05. ☐ Incorporation By Reference *(usable if box 4b is checked)*

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of accompanying application and is hereby incorporated by reference therein.

06. ☐ Computer Program in Microfiche *(Appendix)*07. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*

- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

08. ☒ Assignment Papers *(cover sheet & document(s))*
09. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS citation
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail (Label No: ET029404871US)
15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

NEW UTILITY PATENT APPLICATION TRANSMITTAL**(Large Entity)***(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Total Pages this Submission:3

Accompanying Application Parts (Continued)

16. ☐ Additional Enclosures (identify below)

Fee Calculation and Transmittal**CLAIMS AS FILED**

For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	16	-20	0	x \$18.00	\$
Indep. Claims	7	- 3	4	x \$80.00	\$ 320
Multiple Dependent Claims _____					\$
				BASIC FEE	\$ 710.00
Other FEE (Specify purpose)					\$
				TOTAL FILING FEE	\$ 1,030.00

- ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit IBM Corporation Deposit Account No. 09-0457 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,030.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees as required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: 23 FEBRUARY 2001


Signature

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